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# **prescribeSM Documentation**

*Release 0*

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`prescribeSM_CESM_1.2.x` allows to prescribe `SOILLIQ` and `SOILICE` in CLM 4.0 for CESM 1.2.x.

The code is originally by Ruth Lorenz (with the help of Dave Lawrence) and has been adapted for CLM 4.0 and CESM 1.2.

## 1.1 Features

- Prescribe `SOILLIQ` (and `SOILICE`) (-> `SM`) in CLM 4.0
- Several methods to prescribe `SM`
- Prescribe `SM` globally or regionally
- Prescribe daily mean or monthly mean `SM` (linear interpolation in between)
- Conveniently define options via namelist



1. Run a reference simulation with CESM/ CLM, *output* SOILLIQ and SOILICE daily data.
2. Process the output, e.g. calculate a climatology.
3. *Convert* the *4D* output file to *3D*, if necessary.
4. *Add the necessary files and compile CESM.*
5. Create the *namelist* file, deciding what *method* to use to prescribe SM.
6. Run CESM with prescribed SM



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**Note:** This guide only explains how to add the extended functionality to CLM and not how CESM can be installed.

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### 3.1 Obtaining the Source Code

The source code must first be obtained from github: `git clone https://github.com/IACETH/prescribeSM_cesm_1.2.x.git`

### 3.2 Compilation

There is one additional source code file and a number of original CLM files were changed (see *Source Files*). The files need to go in to the `SourceMods` folder (`$CASEROOT/SourceMods/src.clm/`) before CESM is compiled.



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## Soil Moisture Data Set

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This file defines the target values which are prescribed in CLM. It is a netCDF file and needs to have the variables SOILICE and SOILLIQ on it (even if you only prescribe SOILLIQ). The variables are not in the usual lat/ lon format (named *4D*) but in an indexed format (named *3D*). The data is obtained from a reference simulation of CLM. The file must have 365 (12) time steps on it to prescribe SM daily (monthly) data.

### 4.1 Obtain SM Data Set from CLM

CLM can directly output the needed *3D* soil moisture fields. Assume you want to output daily *4D* files in history tape 2 and daily *3D* fields in history tape 3, you have to add the following lines to `user_nl_clm`:

```
# daily SM, lat, lon grid:
hist_fincl2 = 'SOILICE', 'SOILLIQ'
# daily SM, column form
hist_fincl3 = 'SOILLIQ', 'SOILICE'
hist_nhtfrq = 0, -24, -24
hist_mfilt = 1, 365, 365
# conversion to lat/ lon format?
hist_dov2xy = .true., .true., .false.
```

### 4.2 Convert 4D to 3D

If you only have the SM forcing file in *4D* format it is possible to convert it to a *3D* file. A small python script that shows how to do this is given in the repository: `clm_col_to_xy_example.py`.

It translates the lat/ lon information to index information. This requires one *3D* file with the used set up (create it as in *Obtain SM Data Set from CLM*).

## 4.3 Regional Forcing

- if you set SOILLIQ or SOILICE to -1 at a gridpoint/ level, SOILLIQ and SOILICE are calculated interactively at this point
- this can be used to prescribe SM only regionally
- or at certain depths only

**Warning:** to prescribe SM only at certain time days of the year will only work if you use (1) daily data and (2) set

PrescribeSM has its own namelist that is called `prescribe_SM_nl`. This namelist must go in the `run` directory of CESM. The location of the `run` directory is defined in `$CASEROOT/env_run.xml` under the entry “RUNDIR”.

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**Note:** an example namelist is given with the code

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## 5.1 Namelist Options

**pSMfile** [string] path to the history file containing SOILLIQ and SOILICE

**pSMtype** [int] Defines how to prescribe SM, see *Methods to Prescribe Soil Moisture*.

**monthly** [bool] If `.false.` uses daily input. If `.true.` assumes monthly mean SM is provided.

**interp\_day** [bool] If `.false.` uses the daily mean, if `.true.` linearly interpolates between daily mean. Applies only if daily input data is used (`monthly = .false.`).

**levstart** [int, optional] First level to prescribe SM, default = 1.

**levstop** [int, optional] Last level to prescribe SM, default = 10.

**nudge** [float between 0. and 1., optional] Nudging parameter. Not tested. Default = 1 (i.e. no nudging).

**use\_qdrai** [bool, optional] Only for pSMtype 3. Do not use, default = `.true.`

**reservoir\_capacity, float, optional** Only for pSMtype 3. Do not use, default = 0.

**Warning:** if you set `monthly=.true.` but have a daily SM input file it will still work (uses the first 12 days as the months)



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## Methods to Prescribe Soil Moisture

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**pSMtype = 1** Prescribe `SOILLIQ` and `SOILICE` at every time step (`PRES_LIQ+ICE`). Ignores gridcells/ levels where `SOILLIQ` or `SOILICE` is negative.

**pSMtype = 2** Prescribe `SOILLIQ` if soil temperature is above freezing (`PRES_LIQ`). Prescribes `SOILLIQ` + `SOILICE` at every time step. Ignores gridcells/ levels where `SOILLIQ` is negative.

**pSMtype = 3** Not tested, do not use. Prescribe `SOILLIQ` if soil temperature is above freezing (`PRES_LIQ`) and only if runoff (or potentially water in the reservoir is available). Does not remove water. Prescribes `SOILLIQ` + `SOILICE` at every time step.

**pSMtype = 4** As `pSMtype = 2` but does not remove water.



## 7.1 Source Files

The three changed source files are:

- `prescribeSoilMoistureMod.F90`
- `clm_driver.F90`
- `BalanceCheckMod.F90`

### **prescribeSoilMoistureMod.F90**

The main file for prescribing soil moisture. Contains the following routines:

- `prescribeSoilMoisture`
- `initPrescribeSoilMoisture`
- `interpSoilMoisture`
- `readSoilMoisture`

### **clm\_driver.F90**

The subroutine `prescribeSoilMoisture` is called from the main program of CLM.

### **BalanceCheckMod.F90**

Prescribing soil moisture violates the water and energy balance. Therefore these two checks have to be turned off.

## 7.2 Glossary

**4D** The usual file.

time x level x latitude x longitude

**3D** time x level x index



## CHAPTER 8

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### Indices and tables

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- `genindex`
- `modindex`
- `search`



## Symbols

3D, 15

4D, 15